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Department of Business Oversight  
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Attorneys for Complainant

BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT  
OF THE STATE OF CALIFORNIA

In the Matter of the Petition for Reinstatement ) CFL LICENSE NO.: 6054618  
of: )  
) NOTICE OF PETITION FOR  
SHARESPOST SECURITIES, LLC. ) REINSTATEMENT (California Government  
) Code Section 11522)  
)

TO: XAVIER BECERRA  
ATTORNEY GENERAL, STATE OF CALIFORNIA  
Department of Justice  
P.O. Box 944255  
Sacramento, California 94244-2550

BILL GAUSEWITZ  
Greenberg Traurig, LLP  
1201 K Street, Suite 1100  
Sacramento, CA 95814  
Attorney for Sharespost Securities, LLC

Pursuant to Government Code section 11522, you are hereby notified that on or about January 17, 2018, Sharespost Securities, LLC, (Sharespost), Respondent, filed a Petition for Reinstatement of License (Petition) with the California Commissioner of Business Oversight (Commissioner). A true and correct copy of the Petition is attached hereto and incorporated herein

1 as Exhibit 1.

2 An order effective December 28, 2016 revoked Sharespost's California Financing Law  
3 (CFL) license for failure to pay 2016-2017 annual assessments as required by Financial Code section  
4 22107. A true and correct copy of the revocation order is attached hereto and incorporated herein as  
5 Exhibit 2.

6 YOU ARE HEREBY FURTHER NOTIFIED that written argument and/or further written  
7 argument may be presented in this matter and should be received by the Department of Business  
8 Oversight on or before August 20, 2018 at its office located at 1515 K Street, Suite 200, Sacramento,  
9 California 95814. Please direct all correspondence to the attention of the undersigned counsel.

10  
11 Dated: July 30, 2018  
12 Sacramento, CA

JAN LYNN OWEN  
Commissioner of Business Oversight

13  
14 By \_\_\_\_\_  
15 KENNY V. NGUYEN  
16 Senior Counsel  
17 Enforcement Division  
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